



Bihar Education Project Council

Shiksha Bhawan,
Bihar Rashtrabhasha Parishad Campus,
Saidpur – 800 004

e-tender (NIT) Reference No: BEPC/ RFP-ACCTTS/97/2020-21/1986.dt.16 /03/2021

NOTICE INVITING RE-TENDER (NIT)

For

**Selection of Chartered Accountant Firm for conducting
Statutory Audit for the Year 2020-21.**

e-Procurement Mode Only

<https://www.eproc2.bihar.gov.in>

Notice Inviting Tender (NIT) for setting up of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21”under “SAMAGRA SHIKSHA”

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Section – 1: BACKGROUND- NIT

- I. The Bihar Education Project Council (BEPC), popularly known as Bihar Education Project (BEP) was established in the year 1991 with the purpose of bringing about quantitative and qualitative improvement in the school education system in Bihar.
- II. At present, the Council is implementing prestigious Centrally Sponsored Scheme (CSS) namely “SAMAGRA SHIKSHA” towards its continuous effort to bring the power of education to rural, semi-urban and urban masses, especially to girls, disabled and children of deprived and downtrodden section of the society of the State.

The main objective of this scheme is to attain the goal of improving school effectiveness measured in terms of equal opportunities for schooling and equitable learning outcomes. It subsumes the three schemes of Sarva Shiksha Abhiyan (SSA), Rashtriya Madhyamik Shiksha Abhiyan (RMSA) and Teacher Education (TE).

The scheme has been developed and launched to from an integrated scheme on School Education Samagra Shiksha from Pre-School to Senior Secondary Schools of the country. Grant is received from State Government, Central Government and others towards various primary and secondary educational programmes and the same is applied to the eligible activities implemented through DPO / BRCs / URCs / CRCs / SMCs, SMDC and KGBV's under this programme. The Funds are shared between the Government of India and State Government in the ratio of 60:40 under Samagra Shiksha.

III. OBJECTIVES

The Expenditure are incurred against various activities approved in the Annual Work Plan & Budget from funds released by the Government of India and the State Government covering the programme cost. A statement of expenditure based on actual amount spent under various 10 interventions is sent to Government of India.

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The Programme Accounts (books of accounts) provide the basis for preparation of the PFS and are established to reflect the financial transaction in respect of the project as maintained by the project implementing agency Bihar Education Project Council, Patna.

The objective of the audit of the Programme Accounts (Programme Financial Statement {PFS}) is, on the basis of exercise such tests of accounting records, internal checks and control and other necessary audit of the accounts as per general principles and standard of audits of the Institute of Chartered Accountant of India, to enable the auditor to express a professional opinion as to whether:

The Programme Financial Statement give a true and fair view of the financial position of Samagra Shiksha, KGBV, TE programme and other fund of Govt. of Bihar at the end of each financial year and of the funds received and expenditure incurred for the accounting period ended 31st March, 2021 as reported by the Programme Financial Statement the funds were utilized for the purposes for which they were provided.

IV. **REPORTING AND TIMING**

The financial statement, including the audit report should be received by the State Implementing Society not later than (three to six) months after the end of the accounting period to which the audit refers. The auditor should submit the report to SPD of the Society well in advance who will take further action to have two copies of the audited.

V. **DISCLOSURE OF MINIMUM FEES IN THE RFP DOCUMENT:**

The average audit fees paid during the last year is Rs. 1,64,500/- (Rupees One Lakh Sixty Four Thousand Five Hundred Only) per zone excluding Goods and Service Tax and TA/DA. This is indicative only for minimum fees.

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VI. MANAGEMENT LETTER

In addition to the audit reports, the auditor will prepare a "management letter", in which the auditor will:

- a. Give comments and observations on the accounting records, systems, and internal controls that were examined during the course of the audit;
- b. Identify specific deficiencies and areas of weakness in systems and internal controls and make recommendations for their improvement;
- c. Report on the degree of compliance of each of the financial covenants of the financing agreement and give comments, if any, on internal and external matters affecting such compliance;
- d. Communicate matters that have come to the attention during the audit which might have a significant impact on the implementation of the programme; and
- e. Bring to the Implementing Agencies' attention any other matters that the auditor considers pertinent.

VII. Transfer of RFP

The RFP Document is not transferable to any other bidder.

VIII. Consortium and joint ventures

Consortium, Joint venture, AOP (Association of Persons), subletting, Sub-contracting and Outsourcing will not be allowed.

IX. Completeness of Response

Bidders are advised to study all instructions, forms, terms, requirements and other information in the RFP documents carefully. Submission of Proposal shall be deemed to have been done after careful study and examination of the RFP document with full understanding of its implications. The response to this RFP should be full and complete in all respects. Failure to furnish all information required by the RFP documents or submission of a proposal not substantially responsive to the RFP documents in every respect will be at the Bidder's risk and may result in rejection of its Proposal and forfeiture of the Proposal EMD.

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X. Proposal Preparation Costs.

The bidder will bear all costs incurred in connection with the preparation and submission of the proposal and to bear any further pre-contract costs.

XI. Supplemental Information to the RFP

If State Project Director, Bihar Education Project Council, Patna, deems it appropriate to revise any part of this RFP or to issue additional data to clarify an interpretation of provisions of this RFP, it may issue supplements to this RFP. Any such corrigendum shall be deemed to be incorporated by this reference in to this RFP.

XII. State Project Director, Bihar Education Project Council, Patna, Patna`s right to terminate the process

State Project Director, Bihar Education Project Council, Patna, may terminate the RFP process at any time and without assigning any reason. State Project Director, Bihar Education Project Council, Patna reserves the right to amend / edit / add /delete any clause of this Proposal Document. However this will be informed to all and will become part of Proposal / RFP.

XIII. REQUEST FOR PROPOSAL

Request for proposal is hereby invited through e-tender from the firms of Chartered Accountants in the prescribed format for short listing such firms for conducting statutory audit of the accounts of Samagra Shiksha erstwhile scheme, Primary Education (P.E), Secondary Education (S.E) and Teacher Education (TE), Other Centrally Sponsored Scheme and other Programmes being implemented by BEPC in the State of Bihar.

XIV. PERIOD OF AUDIT

The purpose of this assignment is the conduct of statutory audit of accounts of the State and the District Units of B.E.P.C. for the financial year 2020-21.

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XV. UNITS CLUSTERED INTO ZONES

I. The State and District Level Offices of B.E.P.C. have been clustered into the following 2 Zones consisting of the SLO/DLOs indicated thereagainst:

Zone I : State Project Office (Patna), SCERT (Head Office Patna), Arwal, Aurangabad, Bhojpur, Buxer, Gaya, Jamui, Jahanabad, Kaimur, Lakhisarai, Nalanda, Nawada, Patna, Rohtas and Sheikhpura.

Zone II: Araria, Banka, Begusarai, Bhagalpur, Katihar, Khagaria, Kishanganj, Madhepura, Munger, Purnia, Saharsa, Supaul, Darbhanga, East Champaran, Gopalganj, Madhubani, Muzaffarpur, Sitamarhi, Sheohar, Samstipur, Saran, Siwan, Vaishali and West Champaran.

II. An eligible firm may apply for 1 or more of the above 2 Zones. However, after completing the evaluation process contracts will be awarded to 2 firms i.e. one firm only for one zone.

XVI. SUBMISSION OF PROPOSAL

To participate in the e-tendering process, the bidder/agency are required to get themselves registered with Bihar Government Centralized e-Procurement portal, i.e., <https://www.eproc2.bihar.gov.in>, may contact the helpdesk at the following address, “eProc 2.0 Help Desk Address: mjunction services limited, RJ Complex, 2nd Floor, Canara Bank Campus, Khajpura, Ashiana Road, P.S. - Shastri Nagar, Patna 800 014, Bihar, Toll Free Number: 1800 572 6571, Email Id: Working Hours: 8AM to 7PM (All days in week except few selected state holidays)” or may visit the link <https://eproc2.bihar.gov.in> and also inform this to BEPC.

XVII. Tenderer may also download the tender documents (a complete set of documents is available on website) from e-Procurement Portal (<https://www.eproc2.bihar.gov.in>) and submit its tender by using the downloaded document.

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- XVIII. Please note that auditors will issue a separate audit report for each district/ unit allotted to them. In addition to this auditor appointed for Zone-I consisting of State Level Office (SLO) shall be considered as lead auditor and shall be responsible for consolidation of the Financial Statements and issue consolidated audit reports and all required certificates for the state.
- XIX. The entire proposal shall be submitted strictly as per the format specified in this Request for Proposal. Proposal with deviation from this format shall be rejected. This Proposal should be submitted in English language only.
- XX. **Schedule of Events**

Sl. No.	Event Descriptions	Timeline
1.	Last date & time of downloading the RFP/NIT	Till 12.04.2021 (Monday) up to 03:00 PM, on the e-Procurement Portal (https://www.eproc2.bihar.gov.in).
2.	Last date & time for submission (upload) of online bidding document.	15.04.2021 (Thursday) till 03:30 PM, on the e-Procurement Portal (https://www.eproc2.bihar.gov.in)
3.	Time, Date of opening of Technical Bid	16.04.2021 (Friday) at 03:30 PM on the e-Procurement Portal (https://www.eproc2.bihar.gov.in)
4.	Time, Date of opening of Financial Bid	To be announced later the e-Procurement Portal (https://www.eproc2.bihar.gov.in)
Note – i) Interested bidders may obtain further information about this Notice Inviting Tender (NIT) from the office of the State Project Director, BEPC. ii) No tender will be accepted after closing date and time in any circumstances.		

- XXI. The tender would be accompanied by Earnest Money Deposit (EMD) through online mode only. Tenders without EMD shall be summarily rejected.

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- XXII. The technical and financial bids must be submitted/uploaded through e-Procurement Portal (<https://www.eproc2.bihar.gov.in>) before the date and time specified in the NIT. The BEPC doesn't take any responsibility for the delay / Non-Submission of Tender / Non-Reconciliation of online Payment caused due to Non availability of Internet Connection, Network Traffic/ Holidays or any other reason."
- XXIII. The bidders shall submit/upload their eligibility and qualification details, Certificates as mentioned in the tender document, in the online standard formats given in e-Procurement Portal <https://www.eproc2.bihar.gov.in> at the respective stage(s) only.
- XXIV. The bidders shall upload the scanned copies of all the relevant certificates, documents etc., in support of their eligibility criteria / technical bids and other certificate /documents in the e-Procurement Portal <https://eproc2.bihar.gov.in>.
- XXV. The EMD should be deposited on-line on or before the scheduled time as indicated in **Clause XX above**, failing which the tenders will be treated as late tender and would be summarily rejected.
- XXVI. BEPC reserves the right to accept or reject any or all bids or change the terms and condition of NIT or cancel the NIT without assigning any reasons at any stage and time.
- XXVII. All further notifications/Corrigendum/Addendum, if any shall be posted on e-Procurement Portal <https://www.eproc2.bihar.gov.in> and shall be binding on all the bidders.
- XXVIII. Financial proposal submitted by the firm should be valid for 90 days.

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Section – 2: INSTRUCTIONS TO BIDDER (ITB)

I. General Instructions

- (a) The bidder should prepare and submit its offer as per instructions given in this section.
- (b) Instructions/ Guidelines for tenders for electronic submission of bids online have been mentioned below for assisting the prospective bidders to participate in e-tendering.
- (c) **Registration of Bidder:** To participate in the e-tendering process, the bidders/agencies are required to get themselves registered with Bihar Government Centralized e-Procurement Portal, i.e., <https://www.eproc2.bihar.gov.in>, shall contact the helpdesk at the following address, “eProc 2.0 Help Desk Address: mjunction services limited RJ Complex, 2nd Floor, Canara Bank Campus, Khajpura, Ashiana Road, P.S. - Shastri Nagar, Patna 800 014, Bihar, Toll Free Number: 1800 572 6571, Email Id: Working Hours: 8AM to 7PM (All days in week except few selected state holidays)” or may visit the link <https://eproc2.bihar.gov.in> and also inform this to BEPC. The prospective bidder is required to click on the link for e-Tendering site as given on the BEPC web portal.
- (d) **Digital Signature certificate (DSC):** Each bidder is required to obtain a class-II or Class-III Digital Signature Certificate (DSC).
- (e) The bidder can search & download NIT & Tender Documents electronically from computer once he logs on to the eProcurement Portal <https://www.eproc2.bihar.gov.in> using the Digital Signature Certificate. This is the only mode of collection for Tender Documents.
- (f) **Submission of bids:** Bids are to be submitted through online mode to the e-Procurement Portal <https://eproc2.bihar.gov.in> at a time for following activities – one while uploading documents for submission of technical bid & the other at the time of submission of Financial bid before the prescribed date & time as mentioned in **Clause XX** in Notice Inviting Tender(NIT) using the Digital Signature Certificate (DSC). The documents will get encrypted (transformed into non-readable formats).

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- (g) Before preparing the tender and submitting the same on the e-Procurement Portal <https://eproc2.bihar.gov.in>, the bidder should read and examine all the terms & conditions, instructions, checklist etc. contained in the Tender Documents. Failure to provide required information or to comply with the instructions incorporated in these Tender Documents may result in rejection of tender(s) submitted by bidders.
- (h) The tenders which are for only a portion of the components of the job /service shall not be accepted. (The tenders /bids should be for all components of the job /service.).
- (i) The agency/bidder shall indicate the Lump-Sum amount for all schemes excluding as per Goods and Service Tax Rules as mentioned in ToR/Scope of Work of the Notice Inviting Tender (NIT), for the service(s), it proposes under the contract. The rates quoted by the bidder shall be excluding of charges of other service charges and all applicable taxes, duties and levies **including Goods & Services Tax (GST) and TA/DA**. TA/DA shall be paid as per BEPC norms. Other applicable taxes, if any, would be deducted at source, as per prevailing rates/rules. The financial bid(s) shall be quoted in the online mode only. If an agency/bidder quotes, “Nil” charges in consideration, the bid shall be treated as non-responsive and shall not be considered.
- (j) The technical bid (technical and financial details of the bidder/agency) shall be submitted before the last date & time of submission in online mode only. Tenders submitted after the stipulated date & time (closing date and time for uploading the tender as mentioned in the NIT/RF shall not be considered and would summarily be rejected.

II. Tendering Expense

The bidder shall bear all costs and expenditure incurred and/or to be incurred by it in connection with its tender including preparation, mailing and submission of its tender and subsequently processing the same. The Bihar Education Project Council (BEPC), in no case be responsible or liable for any such cost, expenditure etc. regardless of the conduct or outcome of the tendering process.

III. Language of the tender

The tender submitted by the bidder and documents relating to the tender shall be written in the English language. However, the language of any printed literature furnished by the bidder in connection with its tender may be written in any other language provided the same is accompanied by an English translation and, for purposes of interpretation of the tender, the English translation shall prevail. However, if the language of any of the printed document(s) submitted by the bidder is/are in “Hindi” language, then there is no need for providing an English translation of the same document, for interpretation.

IV. Amendments to the tender document

- (a) At any time prior to the deadline for submission of tenders, the BEPC may, for any reason deemed fit by it, modify the Tender Documents by issuing suitable amendment(s) to it.
- (b) Such an amendment will be notified on eProcurement Portal <https://eproc2.bihar.gov.in> and the same shall be binding to all prospective Bidders.
- (c) Any bidder who has downloaded the tender document should watch for amendment, if any, issued on the above eProcurement Portal <https://www.eproc2.bihar.gov.in>. and the BEPC will not issue separate communication to them. The BEPC shall not be responsible in any manner if prospective Bidders miss any notifications placed on mentioned eProcurement Portal <https://eproc2.bihar.gov.in>.

V. Earnest Money Deposit (EMD)

- (a) The tender shall be accompanied by Earnest Money Deposit (EMD) for the sum of Rs 5,000/- (Rupees Five Thousand only) and should only be paid through online mode only.
- (b) It may be noted that no bidder is exempted from deposit of EMD. Tenders submitted without EMD would be summarily rejected.

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- (c) The EMD of unsuccessful bidder will be returned to them without any interest, after conclusion of the resultant contract. The EMD of the successful bidder will be returned without any interest, after receipt of performance security as per the terms of contract.
- (d) Earnest money is required to protect the BEPC against the risk of the Bidder’s conduct, which would warrant the forfeiture of the EMD.
- (e) The EMD/ Bid Security shall be forfeited by the BEPC hereunder or otherwise, under the following conditions:
 - i. If a bidder engages in a corrupt practice, fraudulent practice, coercive practice, undesirable practice, or restrictive practice
 - ii. If a bidder withdraws its bid during the period of bid validity as specified in this bid document and as extended by the BEPC from time to time.
 - iii. In the case of selected bidder, if it fails within the specified time limit to sign the contract and/or to furnish the Performance Security before signing the contract agreement within the period prescribed in the Letter of Intent (LoI).

VI. Preparation of Tender

- (a) The Bidding documents shall be submitted in the mode as mentioned below: -

(1) Earnest Money Deposit (EMD)	Online Mode Only
(2) Technical Bid	Online (Cover-Technical Stage)
(3) Financial Bid(s)	Online (Cover-Cost Bid Stage)

- (b) Bidders are requested not to submit the hard copy of Financial Bid. In case the hard copy of financial bid is submitted the tender shall be straight away rejected. Also, uploading of the financial bid in prequalification bid or technical bid will result in rejection of the tender.
- (c) The tender documents shall be duly signed by the authorized person duly approved by the appropriate authority at the appropriate places as indicated in the tender documents and all other pages of the tender including printed

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literature, if any shall be initialed by the same person(s) signing the tender. The tender shall not contain any erasure or overwriting, except as necessary to correct any error made by the bidder and, if there is any such correction; the same shall be initialed by the person(s) signing the tender. The entire document being part of tender document should be page numbered. The Authorization Letter shall also be furnished along with the tender, as per the **Annexure-2**.

- (d) A person signing (manually or digitally) the tender form or any documents forming part of the contract on behalf of another shall be deemed to warranty that he has authority to bind such other persons and if, on enquiry, it appears that the persons so signing had no authority to do so, the BEPC may, without prejudice to other civil and criminal remedies, cancel the contract and hold the signatory liable for all cost and damages.
- (e) **Prices are to be quoted in the financial Bid format in online mode only.** The financial bid submitted in any other format will be treated as non-responsive. The bidder will be required to download the financial bid file, from e-tendering portal and quote the prices in prescribed format before uploading it. The bidder shall quote prices in all necessary formats.
- (f) The bidder must be submit self-attested copies of the documentary evidences as required in the Eligibility/Pre-Qualification criteria through online mode on e-Procurement Portal <https://www.eproc2.bihar.gov.in> as detailed in the Section – 5: ELIGIBILITY CRITERIA.

VII. Tender Submission

- (a) The Bihar Education Project Council (BEPC) will open the tenders at the date and time as indicated in Section 1 - **Clause XX**, of the Notice Inviting Tender (NIT). In case the specified date of tender opening falls on / is subsequently declared a holiday or closed day for the Bihar Education Project Council (BEPC), the tenders will be opened in online mode, on the next working day.

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- (b) Technical evaluation of the Bid will be done on the basis of technical qualification criteria and documents mentioned (TECHNICAL BID) in Mandatory Documents Link present in the eProcurement Portal <https://www.eproc2.bihar.gov.in> failing which the bid will not be considered for technical evaluation.
- (c) The technical evaluation shall be done only on the basis of documents/papers submitted by the bidder on e-Procurement Portal <https://www.eproc2.bihar.gov.in>.
- (d) The financial bids of bidders whose Technical bids are found technically responsive and comply with the bid documents will only be considered for financial evaluation. The date of opening of financial bids shall be communicated to such bidders, who are technically qualified.
- (e) The date fixed for opening of financial bids, if subsequently declared as holiday by the BEPC, the revised date of schedule will be notified on the e-Procurement Portal <https://www.eproc2.bihar.gov.in> official website. However, in absence of such notification, the bids will be opened on next working day, time and venue remaining unaltered.
- (f) The price offered in the financial bid by the bidder/agency, shall be valid for the entire contract period. The price shall remain firm and will not be subject to escalation during the execution of the contract, for the entire contract period.
- (g) The bidder may contact to **Sri Raman Kumar**, Chief Account Officer (I/c) **Mobile No. - 8544412166** for any clarification regarding bid.

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Section – 3: EVALUATION OF TENDERS

I. Scrutiny of Tenders /Bids

- a) A detailed evaluation of the bids shall be carried out by the bid evaluation committee constituted by the BEPC to determine whether they are complete and meet the requirements as set forth in the NIT, i.e. whether the bidder is eligible and technically qualified as per criteria laid down in this NIT. The committee, will evaluate and compare the quotations determined to be substantially responsive i.e. whether bids;
- i. are properly signed? ;
 - ii. meet the eligibility/qualification criteria /requirements specified? ;
 - iii. confirms to the terms and conditions, and specifications? ; and
 - iv. are accompanied by the required securities and are valid for the period specified in the tender document.
- b) The bid, which do not meet the Eligibility Criteria/Technical Criteria are liable to be treated as non-responsive and shall have no access to the financial bids. The decision of the BEPC as to whether the bidder is eligible and qualified or not and whether the bid is responsive or not shall be final and binding on the bidders. Financial bids of only those bidders, who qualify on technical bid, will be considered, and opened.

II. Basic of Evaluation

- (a) Such Proposals that are submitted in the prescribed format and fulfil all the 7 eligibility criteria will be evaluated further and points will be awarded to the firm as per the following norms:

Sl. No.	Criteria	Basis of Evaluation	Points	Maximum Points
i.	Empanelment with C&AG, RBI and PSU major Audit	Empanelled with C&AG	1.5	6
		Empanelled with RBI	1.5	
		Empanelled with PSU's major audit as per C&AG.	3	
ii.	Age of Firm	More than 10 years up to 15 years	3	9
		More than 15 years up to 20 years	6	
		More than 20 years	9	
iii.	Full-time	In case of an FCA associated with the Firm		12

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Sl. No.	Criteria	Basis of Evaluation	Points	Maximum Points
	Partners’/CA Employees’ Association with the Firm	for:		
		● more than 1 year but up to 5 years	1.5*4	
		● more than 5 years but up to 10 years	2*4	
		● more than 10 years	3*4	
		In case of an ACA associated with the Firm for:		
		● more than 1 year but up to 5 years	1*4	
		● more than 5 years	1.5*4	
iv.	Number of Semi-qualified Staff	Articled/Audit Clerks and other audit staff presently working in the firm.		9
		● more than 5 for Articles / Audit Clerks	3	
		● More than 5 for other audit staff	6	
v.	Average Annual Turnover	● From 35 Lac and up to 55 Lac	6	12
		● More than Rs. 55 Lac and up to 70 Lac	9	
		● More than 70 Lac	12	
vi.	Head Office & Branch Office	Firm has Head Office in any other city of Bihar	3	6
		Firm has Branch Office in Patna	3	
		Firm has Head Office in Patna	6	
vii.	Past Experience in similar assignment i.e Statutory Audit	Completed at least 2 but less than 4 statutory audit assignments of Govt. / PSU Organisations in preceding 4 years between 2016-17 to 2019-20.	3	6
		Completed 4 or more statutory audit assignments of Govt. / PSU Organisations in preceding 4 years between 2016-17 to 2019-20.	6	
Maximum Total Points				60

Note:

1. In case of merger, the partners of the merging firms will be assigned points after one year from the date of merger.
2. If the FCA is more than one year but association with a firm is more periods then their points will be considered in the FCA category adding the period of association as ACA also.

(b) State Project Director, Bihar Education Project Council, Patna shall evaluate Financial Proposals of eligible bidders. If there is a discrepancy between words and figures, the amount in words shall prevail. For any other calculation / summation error etc. the proposal may be rejected. The financial selection of the successful bidders from the technically qualified bidders will be done by considering both the price quoted and the technical capability using the following criteria.

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Sl. No.	Criteria	Method of allocating point for combined score
1.	Points for financial bid	The following formula will be applied for allocating points on financial bid :- $\frac{\text{Lowest Bid} \times 40}{\text{Bid being considered}}$

All marks will be given rounded up to two decimal points. The bidder getting the Maximum combined score out of 100 above a specified cut-off score will be short listed for further consideration.

III. Bid Clarification

- a) The BEPC reserves the right to ask for a technical elaboration/clarification in the form of a technical presentation/evidence from the bidder on the already submitted Technical Proposal at any point of time before opening of the Financial Proposal. The bidder shall furnish the required information to the BEPC and its appointed representative or the third-party agency as the case may be, on the date asked for, at no cost to the BEPC. The BEPC may at its discretion, visit the office / Operational Center being run by the bidder, any time before the issue of Letter of Intent.
- b) No further discussion/interface will be held with the bidder whose bid has been technically disqualified / rejected.

IV. Discrepancies in Prices

If there is a discrepancy between the amount expressed in words and figures, the amount in words shall prevail. If the bidder does not agree to the observation of the purchase committee, the tender will be summarily rejected.

V. Fraudulent & Corrupt Practices

- a) The bidder and their respective officers, employees, agents, and advisers shall observe the highest standard of ethics during the Selection Process. Notwithstanding anything to the contrary contained herein, the BEPC may reject the bid without being liable in any manner whatsoever to the bidder if it determines that the bidder has, directly or indirectly or through an agent, engaged in corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice in the Selection Process.

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- b) Without prejudice to the rights of the BEPC hereinabove, if an Bidder is found by the BEPC to have directly or indirectly or through an agent, engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice during the Selection Process, such Bidder shall not be eligible to participate in any tender or RFP issued by the BEPC during a period of 5 (Five) years from the date such Bidder is found by the BEPC to have directly or indirectly or through an agent, engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice, as the case may be.
- c) For the purposes of this Clause, the following terms shall have the meaning hereinafter respectively assigned to them:
- i. **“Corrupt practice”** means (i) the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the actions of any person connected with the Selection Process (for avoidance of doubt, offering of employment to, or employing, or engaging in any manner whatsoever, directly or indirectly, any official of the BEPC who is or has been associated in any manner, directly or indirectly, with the Selection Process.
 - ii. **“Fraudulent practice”** means a misrepresentation or omission of facts or suppression of facts or disclosure of incomplete facts, in order to influence the Selection Process.
 - iii. **“Coercive practice”** means impairing or harming or threatening to impair or harm, directly or indirectly, any person or property to influence any person’s participation or action in the Selection Process.
 - iv. **“Undesirable practice”** means (i) establishing contact with any person connected with or employed or engaged by the BEPC with the objective of canvassing, lobbying or in any manner influencing or attempting to influence the Selection Process; or (ii) having a Conflict of Interest, and

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- v. “**Restrictive practice**” means forming a cartel or arriving at any understanding or arrangement among Bidders with the objective of restricting or manipulating a full and fair competition in the Selection Process.

VI. PERFORMANCE SECURITY

- a) 5% as performance security of the total bid/contract value will have to be deposited in form of **Bank Guarantee in favour of State Project Director, Bihar Education Project Council, Payable at Patna**, by the lowest evaluated responsive bidder before the award of work (valid till at least 30 days from the date of expiry of defect liability period)
- b) The performance security will be refunded only after the expiry of Guarantee/Warranty period as specified in the bid-document/agreement.
- c) The performance security deposit shall be forfeited in case any terms and conditions of the contract/agreement are infringed or the bidder fails to complete the work in time.

VII. AWARD OF CONTRACT

- a) The BEPC reserves the right to accept in part or in full any tender or reject any tender(s) without assigning any reason or to cancel the tendering process and reject all tenders at any time prior to award of contract, without incurring any liability, whatsoever to the affected bidder(s).
- b) Only those firms that are deemed to be technically qualified as per II (a), i.e. firms having secured 60% i.e. 36 points or more in the technical evaluation will be considered for financial evaluation.
- c) The firm securing minimum 60% i.e. 36 points and above will be added to zone wise secured financial points. The zone wise ranking will be finalised and allotment will be done as follows :-

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Zone - I - The firm which has secured highest point and ranked 1st in Zone - I will be selected for the Zone - I on their quoted rate.

Zone - II - Of the remaining firms, the firm which has secured highest point and ranked 1st in Zone - II will be selected for the Zone - II on their quoted rate.

- d) On the basis of marks obtained in Technical and Financial Bid the evaluated points shall be added for merit rank list.
- e) The proposal of the Bidder whose Bid has been determined to be substantially responsive and who has been offered the 1st ranked in Zone - I & II will be designated as lowest evaluated responsive bidder (L1).
- f) The State Project Director, BEPC will notify the lowest evaluated responsive bidder (L1) in writing that its proposal has been accepted.
- g) The Bidder shall within 7 days of issue of the Letter of Intent (LoI), submit his acceptance.
- h) Negotiations, if any, will be held with the selected Bidder only at the office of the BEPC. The committee's decision would be final and binding to the Bidders.
- i) The BEPC reserves the right at the time of Contract award and/or during validity of contract, to increase or decrease the scope of services without any change in unit price or other terms and conditions.
- j) The successful bidder must furnish to the BEPC the required Performance Security (PS) as specified in the NIT, before executing the contract/signing of the contract agreement positively failing which the EMD will be forfeited and the award will be cancelled and bidder may also be blacklisted.
- k) The contract agreement will be signed between Bihar Education Project Council, Patna and the selected bidder and will be required to be signed within 21 days of the issue of the Letter of Intent (LoI). The stamp duty and registration charges, if any levied by the Central or State governments or any other statutory body, payable on the Agreement will be borne by the selected service provider/bidder.

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Section – 4: TERMS OF REFERENCE /SCOPE OF THE WORK

In conducting the Audit, attention should be given to the following:

- a. All funds have been used in accordance with the condition of the relevant financial norms and financial regulations with due attention to economy and efficiency, and only for the purpose for which the financing was provided.
- b. Generally accepted accounting principles are followed by all entities who are authorized to incur expenditure under Samagra Shiksha, SSA, KGBV, RMSA, TE other fund of Govt. of Bihar.
- c. Goods, works and services financed have been procured in accordance with relevant provisions of the Procurement Procedure prescribed for the purpose. Proper documents, namely, purchase orders, tender documents, invoices, vouchers, receipts, pay bills, TA bills etc. are maintained and linked to the transactions and retained till the end of the Programme.
- d. All necessary supporting documents, records and accounts have been kept in respect of all programme expenditure including expenditure covered by Statement of Expenditure. Clear linkages should exist between the books of accounts and reports presented to the Government of India and the State Government.
- e. Expenditure incurred under Samagra Shiksha, SSA, KGBV is strictly in accordance with the financial norms prescribed in the Samagra Shiksha framework or any other clarifications issued from time to time. The expenditure statements / financial statements included in the statement of expenditure of the relevant period represent a true and fair view or implementation and operations of the programme at the end of the financial year and of resources and expenditure for the year ended on that date.
- f. Expenditure is incurred with reference to the budget allocation approved by the PAB. In case the budget allocation is exceeded proper re-appropriation duly approved by the competent authority has been obtained. The CA should point out expenditures activity-wise that exceeded the budget allocation.

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- g. Samagra Shiksha, KGBV funds are used efficiently and economically to the purpose for which they are intended.
- h. Reconciliation of Bank Statements and accounts is regularly carried out on a monthly basis.
- i. The C.A. firm appointed for the audit should also look into the position of audit compliance of previous audit objections / observations raised in the previous audit report, if any. The audit report should include a separate Paragraph on compliance of previous years’ audit objections/observations.
- j. The C.A. firm appointed for the audit should be required to give Audit Certificate, utilization certificate and any other certificate required from time to time.
- k. The audit should cover the accounts of State Implementing Society, all district project offices and state level office.
- l. The C.A. firm appointed for the audit should be required to give adequate coverage of procurement process and reflection of same in the audit report.
- m. The C.A. firm appointed for the audit should be required to certify of only those expenditures, which are supported by expenditure statements/ Utilization Certificates/ Vouchers etc. as per the provision of Para 6.1.6 of the Manual on Financial Management and Procurement, and budget allocations for the same exists in the approved AWP&B for the year 2020-21. The expenditure certified by the audit should be as per the activity heads under which allocations has been approved by Project Approval Board.
- n. The audit report should include the details of unadjusted outstanding advance year wise. These should not be certified as expenditure but shown as advances only.
- o. The documents/information required to be sent with the Audit Report are as under:-
 - a. Utilization Certificate (Annexure- I & II of the Manual on Financial Management and Procurement), Separately for Capital Head and General Head Expenditure.
 - b. Consolidated Annual Financial Statement (Annexure- XIII of the Manual on Financial Management and Procurement).

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- c. Consolidated Balance Sheet (Annexure- XIV of the Manual on Financial Management and Procurement), along with schedule forming part of the Balance Sheet.
- d. Consolidated Income & Expenditure Account (Annexure- XV of the Manual on Financial Management and Procurement), along with scheduled forming part of the Income & Expenditure Account.
- e. Consolidated Receipt & Payment Account (Annexure- XVI of the Manual on Financial Management and Procurement), along with scheduled forming part of the Receipt & Payment Account.
- f. Management Letter.
- g. Procurement Audit Certificate.
- h. The revised Unaudited Interim Financial Reports (IUFRRs) I, II & III duly filled in.
- i. Para on settlement of outstanding/previous years Audit Objections.

I. Duration for the Audit Work

- (a) The duration of the Audit work would be for an initial period of One year from the date of execution of the Work Order, which may further be extended for a further period of two more years on the same rates, terms and conditions based on the requirements, availability of resources and funds under Samagra Shiksha or any other source and satisfactory performance of work done by the agency and any other conditions mutually agreed by the agency, and BEPC.
- (b) If the agency fails to comply with any of the clauses of the Agreement or services are found unsatisfactory, the BEPC will issue notice for necessary ratification/improvement in full satisfaction of the agency within a period not more than 30 days. In case the desired ratification is not done within the stipulated time, the first Party may terminate the agreement by giving 15 days advance notice to the Second Party. In such case, the First Party shall not be liable to make any payment of any charges from the date of issue of the first notice for ratification.

II. Payment Terms:

The payments arrangements under this project are as follows:

- (a) Invoices raised at the contracted value for each deliverable must accompany the deliverable.
- (b) All Payments will be subject to deduction of Income Tax, GST and any other tax prevailing / applicable at the time of payment.
- (c) In bills all taxes should be shown separately.
- (d) No advance payment shall be made.
- (e) No interest would be payable on delayed payments. However, BEPC would normally make every possible effort to make payments promptly.

Section – 5: ELIGIBILITY CRITERIA (Pre- Qualification Criteria)

1. BASIC CRITERIA FOR THE STATUTORY AUDITOR FOR PARTICIPATING IN PROPOSAL PROCESS

- a. The firm must have empanelled with C & AG & RBI for 2018-19 or latest eligible for doing major PSUs audit as per C&AG.
- b. The firm shall be in existence for at least 10 years practicing chartered accountant, register with ICAI.
- c. The firm shall be Partnership firms with One full time FCA. The partnership concern shall be in continuous practice for the period of minimum 10 years. Joint Venture & A.O.P. (Association of Persons) firms are not allowed. The term full time partner / CA employee does not include those persons [Partner / sole] who are: -
 - (i) Partners in other firms
 - (ii) Employed part-time / full-time elsewhere, practicing in their own name or engaged in practice otherwise or engaged in any other activity which would be deemed to be in practice under section 2 (2) of the Chartered Accountants Act, 1949.
 - (iii) Partners who have earned more professional income from other sources than their income from the firm.
- d. The firm should have annual minimum turnover* of Rs. 35.00 lakhs each year in preceding 03 years i.e. F.Y 2016-17, 2017-18 and 2018-19.
- e. The firm must have experience of working in all at least 02 Govt./PSU assignment for Statutory Audit in preceding 04 years between F.Y. 2016-17 to 2019-20.
- f. The firm must have goods and service tax registration.
- g. The firm must have filed income tax Returns for preceding 03 years for the F.Y. i.e. 2016-17, 2017-18 and 2018-19.
- h. The firm must have audit team, of minimum 06 (Articles /audit clerk) and other audit staff who have qualified the Intermediate/ PE II/PCC/IPCC Examination of the ICAI.
- i. It is necessary to have at least minimum 04 full time chartered accountant Partners/Employees as on date of advertisement in the firm.
- j. The head office of the firm must be in the State of Bihar.

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- k. The C.A. Firm who has conducted the Statutory Audit of Bihar Education Project Council, Patna continues for three year, will not be entitled for Statutory Audit in F.Y. 2020-21.
- l. The C.A. Firm who has conducted the concurrent audit of Bihar Education Project Council, Patna for the year 2020-21, will not be entitled for Statutory Audit in the F.Y. 2020-21.

All the documentary proof of above must be submitted in Technical Bid.

- (i) Turnover shall mean Gross Professional Earnings as per the Profit & Loss Account of the year.
- (ii) When the firm will not submit the P&L A/C for the F.Y. 2016-17, 2017-18 and 2018-19, then average amount will be calculated for determining the average annual turnover of Rs. 35 lacs. Suppose the firm Submit 2 years P&L A/C and its turnover come to 105 lacs then for marking criteria it will be in the slab of 35 lacs & up to 70 lacs.(i.e. 105/3)

2. IN ADDITION, THE BIDDER MUST UPLOAD FOLLOWING DOCUMENTS REQUIRED FOR EVALUATION:-

Sl. No.	Documents to be Submitted	Documentary Proof Required.
01	Eligibility of Major PSUs Audit as per C & AG	Proof to be attached for 2018-19 or latest issued from C&AG.
02	Empanelment with RBI	Proof to be attached for 2018-19 or latest.
03	(i) Date of formation of the firms. (ii) Particulars of Head Office & Branches. (iii) Details of partners / (FCA, ACA & associated with the firm, Employees as on 01 st January, 2021) date of joining the firm, date of becoming FCA, and their other interest, if any. (iv) Details of merger or demerger partners.	(i) Copy of Firm Constitution Certificate of the firm issued from the ICAI as on 01.01.2021 or latest. (ii) Firm Details - as per Annexure 3 (i) (iii) Undertaking - as per Annexure 3 (ii) (iv) Details of Full Time Partners of the Firm - as per Annexure 3 (iii) (v) Details of Part Time Partners of the Firm - as per Annexure 3 (iv) (vi) Details of Full Time Chartered Accountant Employees - as per Annexure 3 (v) (vii) Particulars of Branches / Head Office - as per Annexure 3 (viii)

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04	Annual Turnover	Copies of financial statement of the firm along with schedules for the F.Y. 2016-17, 2017-18 and 2018-19.
05	Engagement in any Statutory Audit of PSUs / Govt. Companies / Corporations etc.	Copies of work order between the F.Y. 2016-17 to 2019-20 and as per Annexure 3 (ix).
06	Goods and Service Tax Registration.	Copy of Goods and Service Tax Registration (GST).
07	Acknowledgement of the IT return of the firm .	A copy of the Acknowledgement of IT return of the firm for the F.Y. 2016-17, 2017-18 and 2018-19.
08	Articles/Audit Clerks and other audit staff presently working in the firm.	Member Card of Partner in Enrolled in Article Ship / Self attested copies of certificate issued from ICAI as per Annexure 3 (vi) and for other audit staff as per Annexure 3 (vii).
09	Details of court cases / arbitration cases / or any other case pending against the firm and partner.	Self Declaration as per Annexure 05.
10	Partnership Deed	Copy of the latest Partnership Deed.

Section – 6: GENERAL TERMS & CONDITIONS

(a) Acknowledgment copy

Signing and returning the acknowledgment copy of a contract issued by or beginning work under that contract shall constitute acceptance of a binding agreement between BEPC and the Successful bidder.

(b) Legal Status.

The Successful bidder shall be considered as having the legal status of an independent successful bidder vis-a-vis the State Project Office. The Successful bidder’s personnel shall not be considered in any respect as being the employees or agents of the State Project Office/District Project Offices of BEPC.

(c) Successful bidder’s Responsibility for Employees

The Successful bidder shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of the Contract, respect the local customs and conform to a high standard of moral and ethical conduct.

(d) Source of Instructions

The Successful bidder shall neither seek nor accept instructions from any authority external to The Bihar Education Project Council in connection with the performance of its services under this Contract. The Successful bidder shall refrain from any action which may adversely affect the Bihar Education Project Council and shall fulfill its commitments with the fullest regard to the interests of the State/District Project Office.

(e) COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS

The Bihar Education Project Council shall be entitled to all intellectual property and other proprietary rights including but not limited to patents, copyrights and trademarks, with regard to documents and other materials which bear a direct relation to or are

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prepared or collected in consequence or in the course of the execution of this contract. At the Bihar Education Project Council's request, the successful bidder shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring them to The Bihar Education Project Council in compliance with the requirements of the applicable law.

(f) TERMINATION

If the Successful bidder fails to deliver any or all of the deliverables within the time period(s) specified in the contract, or fails to perform any of the terms, conditions, or obligations of the contract, or should the Successful bidder be adjudged bankrupt, or be liquidated or become insolvent, or should the successful bidder make an assignment for the benefit of its creditors, or should a receiver be appointed on account of the insolvency of the Successful bidder, The Bihar Education Project Council may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate the Contract, forthwith, in whole or in part, upon fifteen (15) days' notice to the Successful bidder.

The Bihar Education Project Council reserves the right to terminate without cause this Contract at any time upon fifteen (15) days prior written notice to the Successful bidder. In the event of any termination no payment shall be due from the Bihar Education Project Council to the Successful bidder except for work and services satisfactorily performed in conformity with the terms of the contract.

Upon the giving of such notice, the Successful bidder shall have no claim for any further payment, but shall remain liable to The Bihar Education Project Council for reasonable loss or damage which may be suffered by The Bihar Education Project Council for reason of the default. The Successful bidder shall not be liable for any loss or damage if the failure to perform the contract arises out of force majeure.

Upon termination of the contract, The Bihar Education Project Council may require the Successful bidder to deliver any finished work which has not been delivered and

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accepted, prior to such termination and any materials or work-in-process related specifically to this contract. Subject to the deduction of any claim The Bihar Education Project Council may have arising out of this contract or termination, The Bihar Education Project Council will pay the value of all such finished work delivered and accepted by The Project Directorate.

(g) Liquidated Damages

Provisions for liquidated damages shall be included in the conditions of contract when delays in the delivery of goods, completion of works or failure of the goods or works to meet performance requirements would result in extra cost, or loss of revenue or loss of other benefits to the implementing agency.

(h) ASSIGNMENT AND INSOLVENCY

1. The Successful bidder shall not, except after obtaining the written consent of The Project Directorate, assign, transfer, pledge or make other dispositions of the Contract, or any part thereof, of the Successful bidder's rights or obligations under the Contract.
2. Should the Successful bidder become insolvent or should control of the Successful bidder change by virtue of insolvency, The Bihar Education Project Council may, without prejudice to any other rights or remedies, terminate the Contract by giving the Successful bidder written notice of termination.

(i) OFFICIALS NOT TO BENEFIT

The Successful bidder warrants that no official of the Bihar Education Project Council has received or will be offered by the Successful bidder any direct or indirect benefit arising from this Contract or the award thereof. The Successful bidder agrees that breach of this provision is a breach of an essential term of the Contract.

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(j) PROHIBITION ON ADVERTISING

The Successful bidder shall not advertise or otherwise make public that the Vendor is furnishing goods or services to The Bihar Education Project Council without specific permission of the State Project Directorate.

(k) AUTHORITY TO MODIFY

No modification or change in this Contract, no waiver of any of its provisions or any additional contractual relationship of any kind with the Successful bidder shall be valid and enforceable against The Bihar Education Project Council unless provided by an amendment to this Contract signed by the authorized official of The Project Directorate.

(l) GOVERNMENT LAW AND DISPUTE RESOLUTION

That the Agreement would be executed with the successful bidder shall be governed by the laws in India and courts in Patna only shall have exclusive jurisdiction over matters relating to or arising from the said Agreement.

We look forward to receiving your quotations and thank you for your interest in this Project.

[Chief Accounts Officer (I/c)]
Bihar Education Project Council,
Shiksha Bhawan, 2nd Floor,
Bihar Rashtra Bhasha Parishad Parishar,
Saidpur, Patna – 800 004.
(Purchaser)

Memo No.:.....

Dated:/...../2020.

[Chief Accounts Officer (I/c)]
Bihar Education Project Council

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Annexure 1: Cover Letter/Letter of Proposal

To,
The State Project Director,
Bihar Education Project Council (BEPC)
Shiksha Bhawan, Saidpur -800 004. (BIHAR)

Subject: Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21.

Dear Sir/Madam,

We, the undersigned, offer to provide the services for Statutory Audit for the year 2020-21 in all the 38 Districts and State Offices in the State of Bihar, in accordance with your RFP/NIT Ref Nodated We are hereby submitting our Proposal for the same.

We understand that the BEPC, may cancel the selection process at any time and that you are neither bound to accept any proposal you receive nor to select the agency, without incurring any liability to the bidders. We acknowledge the rights of BEPC to reject our bid without assigning any reason or otherwise and hereby waive our right to challenge the same on any account whatsoever. Further, we declare that:-

- (a) We have examined and have no reservations to the tender documents, including any addendum issued by the BEPC;
 - (b) We agree and understand that the proposal is subject to the provisions of the tender document. In no case, I/We shall have any claim or right of whatsoever nature if the assignment is not awarded to me/us or our proposal is not opened.
 - (c) We agree to keep this offer valid for 180 days from the proposal due date specified in tender.
 - (d) A Power of Attorney (PoA) in favour of the authorized signatory to sign and submit this Proposal and documents is also attached herewith.
 - (e) We agree and undertake to abide by all the terms and conditions of the tender Document.
- In witness thereof, I/we submit this proposal under and in accordance with the terms of the tender document.

Yours sincerely,

Authorized Signature [In full and initials]:

Name and Title of the Signatory:

Name of the Firm:

Address:

Location: _____ Date: _____

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Annexure 2: Power of Attorney

AUTHORIZATION LETTER FOR SIGNING OF PROPOSAL
(On Non – judicial stamp paper of Rs.1000/- duly attested by notary public)

Know all men by these present, we _____ (name and address of the registered office) do hereby constitute, appoint and authorize Mr. / Ms. _____ R/o _____ (name and address of residence) who is presently employed with us and holding the position of _____ as our authorized representative, to do in our name and on our behalf, all such acts, deeds and things necessary in connection with or incidental to the bid of the firm/ organization, _____ for “**Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21**” including signing and submission of all documents and providing information / responses to Bihar Education Project Council (BEPC), representing us in all matters in connection with our bid for the said Project.

We hereby agree to ratify all acts, deeds and things lawfully done by our said attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us. Dated this the day of 2020.

For
(Name, Designation and address)
Accepted
..... (Signature)
(Name , title and address of the Attorney)

Date:

Note:

- (i) The mode of execution of the Power of Attorney (PoA) should be in accordance with the procedure , if any, laid down, by the applicable law and the charter documents of the executants and when it is so required the same should be under common seal affixed in accordance with the required procedure.
- (ii) In case, an authorized director of the bidder/agency signs the bid, a certified copy of the appropriate resolution/document conveying such authority may be enclosed in lieu of the Power of Attorney(PoA).

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Annexure - 3 (i)

Firm Details

Status of Firm Partnership Sole Proprietorship

1. (a) Name of the firm (in Capital letters)

(b) Address of the Head office

(Please also give telephone no. and _____
e.mail address)

(c) PAN No. of the firm _____

2. ICAI Registration No. _____ Region Name _____ Region Code No. _____

3. (a) Date of constitution of the firm:

(b) Date since when the firms has a full time FCA

4. Full-Time Partners of the firm as on 01-01-2021 or latest {Please fill up Annex 3 (iii)}

5. Number of Part Time Partners if any, as on 01-01-2021 or latest {Please fill up Annex 3 (iv)}

6. Number of Full Time Chartered Accountant Employees as on 01-01-2021 or latest {Please fill up Annex 3 (v)}

7. Number of audit staff employed full-time with the firm {Please fill up Annex 3 (vi) & (vii)}

(a) Articles / Audit Clerks _____

(b) Other Audit Staff (with knowledge of book keeping and accountancy) _____

8. Number of Branches {Please fill up Annex-3 (viii)}

9. Whether the firm is engaged in any Statutory audit of any PSUs/Govt. Companies/Corporations etc. {Please fill up Annex-3 (ix)} Yes/No

10. Whether the firm is implementing quality control policies and procedures designed to ensure that all audits are conducted in accordance with Statements on Standard Auditing Practices (SAP 17) Yes/No

11. Whether there are any court / arbitration/ any other legal case against the firm (If yes, give a brief note of the case indicating its present status) Yes/Not

Place: Signature

Date: Full name and address

(Seal of the Firm)

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Annexure - 3 (ii)

Undertaking

I/We the partner(s) of M/s. _____, Chartered Accountant do hereby jointly and severally verify and declare-

- (i) That the particulars given are complete and correct and that if any of the statements made or the information so furnished in the application form is later found not correct or false or there has been suppression of material information, the firm would not only stand disqualified from allotment but would be liable for disciplinary action under the Chartered Accountants Act, 1949 and the regulations framed thereunder;
- (ii) That the firm, or partners has not been debarred or cautioned by ICAI during the last three years, (if debarred, give details);
- (iii) That individually we are not engaged in practice otherwise or in any other activity which would be deemed to be in practice under Section 2 (2) of the Chartered Accountants Act, 1949;
- (iv) That the constitution of the firm as on 01st January, 2021 or latest shown in the Request for Proposal is same as that in the constitution certificate issued by the ICAI.

Sl. No.	Name of the Partners	Membership No.	Date of Birth	PAN No.	Dates of Payment of the fees for the relevant year	Signature of the partners

A/B*						

***A For membership**

B For issue of certificate of practice

Place:

Signature

Date:

Full name and address.....

(Seal of the Firm)

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Annexure - 3 (iii)

1. Firm's name _____

Details of Full Time Partners of the firm. (As on 01.01.2021)

Sl. No.	Name of the Partners	Member-Ship No.	Whether FCA / ACA	Date of joining the firm (full time)	Date of becoming FCA	Station & Region where residing at present

Place:

Signature

Date:

Full name and address

(Seal of the Firm)

Notice Inviting Tender (NIT) for setting up of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21”under “SAMAGRA SHIKSHA”

Annexure - 3 (iv)

1. Firm's name _____

Details of Part-Time Partners of the firm. (As on 01.01.2021)

Name of Partners	Member-Ship No.	Whether FCA / ACA	Date of becoming FCA	Date of joining the Firm.	Name of other firm in which he is partner/ Employee	Whether practicing in his own name also (Y/N)	Whether employed elsewhere (Y/N)

Place:

Signature

Date:

Full name and address

(Seal of the Firm)

Notice Inviting Tender (NIT) for setting up of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21”under “SAMAGRA SHIKSHA”

Annexure - 3 (v)

Details of full time Chartered Accountant Employees. (As on 01.01.2021)

Sl.No.	Name	Member-Ship No.	Whether FCA / ACA	Date of joining the firm as full time employee	Signature of the employees

Place:

Signature

Date:

Full name and address.....

(Seal of the Firm)

Notice Inviting Tender (NIT) for setting up of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21”under “SAMAGRA SHIKSHA”

Annexure - 3 (vi)

Details of Articled / Audit clerk who have qualified the Intermediate / PE - II / PCC / IPCC Examination employed full time with firm (As on 01-01-2021):-

Sl. No.	Name of Article/Audit Clerk	CRO/ERO/NRO No.	Article / Audit clerk (Name of Partner Enrolled) as per member card

Place: Signature

Date: Full name and address.....

(Seal of the Firm)

Notice Inviting Tender (NIT) for setting up of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21”under “SAMAGRA SHIKSHA”

Annexure - 3 (vii)

Other Audit Staff employed full time with the firm (As on 01-01-2021):-

Sl. No.	Name of Staff	CRO/ERO/NRO No.	From the Period

Place:

Signature

Date:

Full name and address.....

(Seal of the Firm)

Notice Inviting Tender (NIT) for setting up of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21”under “SAMAGRA SHIKSHA”

Annexure - 3 (viii)

Particulars of Branches (Including foreign branches, if any)

Sl.No.	Station at which located	Complete address with PIN Code & Telephone No.	Name of the partner incharge of the branch	Date of opening of the branch	Region

Place:

Signature

Date:

Full name and address

(Seal of the Firm)

Notice Inviting Tender (NIT) for setting up of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21”under “SAMAGRA SHIKSHA”

Annexure - 3 (ix)

Details of audit experience of the firm for the last 4 years in the following proforma. (Only assignments which carry a fee of Rs. 50,000/- and above should be mentioned).

Name of the area / sector	Name of the company /Firm/ body etc.audited	Year of audit e.g. (a) 2016-17 (b) 2017-18 (c) 2018-19 (d) 2019-20	Fees charged for each of the assignments in each year.	Nature of audit assignment viz. Statutory audit / Branch audit /Internal Audit Etc.	Name of the full time partner who supervised the audit or signed the financial statements and who is still working in the firm

Place:

Signature

Date:

Full name and address.....

(Seal of the Firm)

Notice Inviting Tender (NIT) for setting up of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21” under “SAMAGRA SHIKSHA”

Annexure 4: Performance Bank Guarantee Format

To,
The State Project Director,
Bihar Education Project Council (BEPC)
Shiksha Bhawan, Saidpur -800 004. (BIHAR)

Whereas(hereinafter called “the Bidder”) has submitted its Bid dated (date of submission of Bid) for Selection of Chartered Accountant Firm for conducting Statutory Audit for the year 2020-21 in consonance with the Tender/RFP No..... dated issued by the BEPC, Patna, (hereinafter called “the Bid”).

Whereas as per Clause.....of the Bid, the bidder is required to furnish a Bank Guarantee as Performance Security from a scheduled nationalized bank (Bank Guarantee)

In consideration of the fact that the Bidder is our valued customer and the fact that he has submitted the Bid, we, (name and address of the bank), (hereinafter called “the Guarantor Bank”), has agreed to bind ourselves, our successors, and assigns to irrevocably issue this Bank Guarantee and guarantee as under

NOW THIS GUARANTEE WITNESSED: -

1. If the Bidder

having been notified of the acceptance of its Bid by the State Project Director Bihar Education Project Council (BEPC), Patna

- (a) during the period of Bid Proposal validity:
(b) fails to perform as per the contract obligations.

The Guarantor Bank shall immediately on demand pay the State Project Director, Bihar Education Project Council (BEPC), Patna, without any demur and without the State Project Director ,Bihar Education Project Council (BEPC), Patna having to substantiate such demand a sum of Rs____ Lakhs (_____ Lakhs) (Guaranteed Amount).

1. The Guarantor Bank will make the payment of the Guaranteed Amount forthwith on the demand made by the State Project Director, Bihar Education Project Council (BEPC), Patna notwithstanding any objection or dispute that may exist or arise between the State Project Director, Bihar Education Project Council (BEPC), Patna, and the Bidder or any other person.
2. The demand of the State Project Director, Bihar Education Project Council (BEPC), Patna on the Guarantor Bank for the payment of the Guaranteed Amount, shall be deemed as the final proof of fulfillment of the conditions stipulated in (1) above.

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3. This Guarantee shall be irrevocable and shall not be discharged except by payment of the above amount by us to the State Project Director, Bihar Education Project Council (BEPC), Patna and our liability under this Guarantee shall be restricted to the Guaranteed Amount being Rs ___ Lakhs (_____ Lakhs).
4. If it is necessary to extend this Guarantee on account of any reason whatsoever, we undertake to extend the period of this Guarantee on the request of the Bidder under intimation to the State Project Director, Bihar Education Project Council (BEPC), Patna.
5. To give full effect to the Guarantee contained herein, the State Project Director, Bihar Education Project Council (BEPC), Patna shall be entitled to act as if the Guarantor Bank is the principal debtor in respect of claims against the Bidder and the Guarantor Bank hereby expressly waives all its rights of surety-ship and other rights, if any, which are in any way inconsistent with any of the provisions of this Guarantee.
6. Any notice by way of demand or otherwise may be sent by special courier, telex, fax, registered post or other electronic media to our address as afore-said and if sent by post, shall be deemed to have been given to us after expiry of 48 hours when the same has been posted.
7. Our liability under this Guarantee will continue to exist until a demand is made by the State Project Director, Bihar Education Project Council (BEPC), Patna in writing or up to and including 2 (Two) year from the date of signing of contract, i.e. up to _____, and any demand in respect thereof should reach the Bank not later than the above date.

Dated this day Yours faithfully,

For and on behalf of the Guarantor Bank,

(Signature)

Designation

(Address and Common Seal of the bank)

Note: To be executed at the time of Signing of Contract by the Selected Vendor

Notice Inviting Tender (NIT) for setting up of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21” under “SAMAGRA SHIKSHA”

**Annexure 5: Self-declaration – Court Cases / Arbitration Cases / any other case or No Blacklisting against the firm and partners
(On bidder’s letter head)**

To,

The State Project Director,

Bihar Education Project Council (BEPC)

Shiksha Bhawan, Saidpur -800 004. (BIHAR)

In response to the Tender/RFP Reference No:dated _____ for establishment & operationalization of “**Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21**” in all 38 Districts & State Units of Bihar on a turn-key basis for five years, as an Owner/Partner/Director of _____, I/We hereby declare that presently our Company/ firm _____, at the time of bidding, is having unblemished record and is not declared ineligible for corrupt & fraudulent practices either indefinitely or for a particular period of time by any State/ Central government/ PSU/ UT. If this declaration is found to be incorrect then without prejudice to any other action that may be taken, my/our security may be forfeited in full and our bid, to the extent accepted, may be cancelled.

Thanking you,

Name of the Bidder:

Authorized Signatory:

Seal of the Organization:

Date:

Place:

Notice Inviting Tender (NIT) for setting up of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21” under “SAMAGRA SHIKSHA”

Annexure 6: Instructions for financial bid

1. The bidder/agency shall provide services for audit of “Selection of Chartered Accountant Firm for conducting Statutory Audit for the Year 2020-21” in all 38 Districts & State Units of Bihar on a turn-key basis in accordance with the terms, conditions, specifications stipulated in the bid document /Scope of work and will quote rates for each Zone i.e Zone - I and Zone - II as follows :-

Format for Financial Proposal – Zone I

Sl. No.	Particulars	Unit	Total Amount (in Rs.)
01.	Audit Fee	State Project Office (Patna), SCERT (Head Office Patna), Arwal, Aurangabad, Bhojpur, Buxer, Gaya, Jamui, Jahanabad, Kaimur, Lakhisarai, Nalanda, Nawada, Patna, Rohtas and Sheikhpura	
word.....			Total amount in

- The above quoted fee/cost should be exclusive of Goods and Service Tax and/or other taxes.

Name of the Firm

Signature of the Authorised Person
Name and Designation of the Authorised Person
Place:
Date:



Seal of the firm

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Format for Financial Proposal – Zone II

Sl. No.	Particulars	Unit	Total Amount (in Rs.)
01.	Audit Fee	Araria, Banka, Begusarai, Bhagalpur, Katihar, Khagaria, Kishanganj, Madhepura, Munger, Purnia, Saharsa, Supaul, Darbhanga, East Champaran, Gopalganj, Madhubani, Muzaffarpur, Sitamarhi, Sheohar, Samstipur, Saran, Siwan, Vaishali and West Champaran.	
			Total amount in
			word.....

- The above quoted fee/cost should be exclusive of Goods and Service Tax and/or other taxes.

Name of the Firm

Signature of the Authorised Person

Name and Designation of the Authorised Person

Place:

Date:

Seal of the firm