



# उत्तर प्रदेश ग्रामीण सड़क विकास अभिकरण

चतुर्थ एवं पंचम तल, गन्ना किसान संस्थान, न्यू बेरी रोड, लखनऊ।  
दूरभाष-0522-3135321, 7311189228 (O). ई-मेल-up-finc@pmgsy.nic.in

पत्रांक: 2632/एफ-117 / वैल्यूम-9 / यूपीआरआरडीए / 24-25 दिनांक:- 30 सितम्बर, 2024

## विज्ञप्ति

### प्रधानमंत्री ग्राम सड़क योजना के वित्तीय वर्ष 2024-25 के आन्तरिक लेखा सम्प्रेक्षण हेतु अभिरूचि की अभिव्यक्ति (ई0ओ0आई0) का आमंत्रण।

उत्तर प्रदेश ग्रामीण सड़क विकास अभिकरण ने भौगोलिक स्थिति के आधार पर उत्तर प्रदेश में स्थित अपने पीआईयूज को 06 भागों (क्लस्टर) में बाँटा गया है, जिसमें एक क्लस्टर में यूपीआरआरडीए मुख्यालय को भी सम्मिलित किया गया है। प्रत्येक फर्म द्वारा क्लस्टर में अवंटित पीआईयूज की पीआईयू वार रिपोर्ट व संकलित रिपोर्ट के साथ मुख्यालय (यूपीआरआरडीए) की रिपोर्ट अलग-अलग तैयार की जायेगी। मुख्यालय (यूपीआरआरडीए) के कार्य के सापेक्ष फर्म को एक पीआईयू की फीस ही देय होगी। एक फर्म द्वारा एक ही क्लस्टर का सम्प्रेक्षा कार्य किया जायेगा।

ई.ओ.आई. के आधार पर फर्मों को सूचीबद्ध किया जायेगा तथा तकनीकी एवं वित्तीय निविदा हेतु आमन्त्रित किया जायेगा। इच्छुक फर्मों को निम्न अर्हताएं पूर्ण करना अनिवार्य है:-

1. चार्टर्ड एकाउन्टेंट फर्म सी.ए.जी. से ऑडिट हेतु इम्पैनल्ड होनी चाहिए।
2. फर्म को दस वर्षों का अनुभव होना चाहिए एवं पिछले तीन वर्षों में ऑडिट सम्बन्धित कार्यों से फर्म का कुल कारोबार (टर्नओवर) रू0 30.00 लाख प्रति वर्ष से अधिक होना चाहिए।
3. फर्म में पार्टनर तथा पूर्णकालिक कर्मचारियों का अनुभव, नेचर आफ स्पेशलाइजेशन एवं योग्यता कार्य की आवश्यकता के अनुरूप होनी चाहिए।
4. फर्म का पिछले पाँच सालों में सामान्य व कुल जैसे- एक/अनेक संस्थानों से वित्त पोषित सरकारी योजनाओं का अंकेक्षण व इसी क्षेत्र की किसी अन्य सरकारी योजना का अंकेक्षण, सरकारी योजनाओं को क्रियान्वयन हेतु अभिकरण का अंकेक्षण इत्यादि का अनुभव हो।
5. चार्टर्ड एकाउन्टेंट फर्म के मुख्यालय/शाखा कार्यालय उत्तर प्रदेश में स्थित होना चाहिए।
6. आन्तरिक सम्प्रेक्षण की न्यूनतम शुल्क रू0 8400/- (जी0एस0टी0 अतिरिक्त) प्रति पी0आई0यू0 छमाही निर्धारित है।
7. फर्म द्वारा प्रस्तुत किये गये प्रस्ताव को स्वीकार/अस्वीकार करने का पूर्ण अधिकार मुख्य कार्यपालक अधिकारी, उ0प्र0 ग्रामीण सड़क विकास अभिकरण का होगा।

अधोहस्ताक्षरी द्वारा किसी एक अथवा समस्त निविदाओं को किसी भी स्तर पर बिना कारण बताये निरस्त किया जा सकता है। अभिरूचि की अभिव्यक्ति एनआईसी की वेबसाइट [www.etender.up.nic.in](http://www.etender.up.nic.in) पर निविदा संख्या 2024\_UPRRD\_961157 पर दिनांक 01.10.2024 के मध्याह्न 12:00 बजे से दिनांक 15.10.2024 की सायं 5.00 बजे तक निर्धारित रूपपत्र पर अपलोड की जा सकती हैं। ई0ओ0आई0 से सम्बन्धित समस्त अभिलेख उक्त वेबसाइट से दिनांक 01.10.2024 के मध्याह्न 12:00 बजे से डाउनलोड किये जा सकते हैं।

*Amul*  
30-9-24

(अखण्ड प्रताप सिंह)  
मुख्य कार्यपालक अधिकारी



## **Invitation for Expression of Interest for Internal Audit of Pradhan Mantri Gram Sadak Yojna Works in Uttar Pradesh**

UPRRDA an autonomous body of Uttar Pradesh invites Expression of Interest (EOI) from reputed Chartered Accountants firms having head office/branch office in the state and empanelled with C& AG of India, who wish to undertake the work of Internal audit (Two Half Yearly) of Program Implementing Units (PIU) and State Rural Road Development Agency located in the State of UTTAR PRADESH.

Based on their geographical locations, the PIUs in the State have been divided into 6 clusters and SRRDA. The auditor of the SRRDA will be designated as the 'Principal Auditor'. Firms may indicate their interest in one or more cluster. Separate evaluation process shall be followed for selecting the auditor for each of the clusters and only one cluster will be allotted to the firm. The firm having maximum technical score will be allotted the audit of SRRDA. Initially firm will be appointed for a period of one year and on satisfactory performance the same may be extended for a further period at one Year. (Maximum the extension can be done for two years, i.e. for a total period of three years.) Further on every extension the cluster allotted to the firm will be changed. However Principal Auditor on extension will continue to be the principal auditor i.e. the cluster of the principal auditor will be changed but audit of SRRDA will be allotted to him. [The location of various PIU's and the cluster and detailed Terms of Reference for the assignment may be obtained from this Office or downloaded from the website [www.etender.up.nic.in](http://www.etender.up.nic.in).

Based on the (EOI), firms would be short listed and invited to submit technical and financial offer. The process would be quality and cost base selection process. The firms must include in their EOI the following information with documentary evidence:-

1. Date of constitution of firm and turnover of firm from the audit and assurance services during the last three years.
2. Details of partners, full time staff indicating the nature of specialization, qualifications and experience considering requirements for the current assignment.
3. The general and overall experience during last five financial years of the firms including experience of audit of projects financed by bilateral and multilateral agencies, project in the same sector, agencies implementing government projects, Public Works Accounts etc.
4. Present location of head office, branch offices with names of Partner/CA in charge of branch.
5. The firms must satisfy following criteria failing which they will be summarily disqualified.
  - The applicant firm should be empanelled with the C&AG in the panel of audit firms.
  - The audit firm is not incumbent Statutory Auditor of SRRDA.

- No partner of the audit firm or any qualified employee of the firm is related to any member of the Governing Body/Executive Committee/ Board of Director or the Project Director or any of the senior management (as applicable) of the PIA. Relative would mean husband, wife, brother, or Sister or any lineal ascendant or descendant.
- Neither the firm is not Partners or Associate have any interest in the business of the project.
- From the date of appointment and for one year after (to be counted from the date of issue of final audit report) the Firm ceases to be Auditor, no other assignment of any kind to the Project (including consultancy) will be accepted, either by the firm or by its partners or relatives of the partners of the firm or by its associates.
- The Audit will not be done by a person who was either an employee in the project or a partner/employee of the retiring auditor, unless such person is employed with the firm for at least one year.
- The firm is not one against which disciplinary orders have been issued by the Public Companies Accounting Oversight Board and these are in force.

The EOI should be uploaded on the website [www.etender.up.nic.in](http://www.etender.up.nic.in) on or before 15.10.2024 up to 05.00 PM positively.

Name of Officer : Chief Executive Officer  
 Name of Office : Uttar Pradesh Rural Road Development Agency.  
 Contact Number : 9415793685 (O)  
 Email ID : up-finc@pmsgsy.nic.in



(अखण्ड प्रताप सिंह)  
 मुख्य कार्यपालक अधिकारी  
 स0प्र0 ग्रामीण सड़क विकास अभिकरण

**Cluster Details for Internal Audit for Financial Year 2024-25**

Cluster No.	No. of Units to be Audited	Location Details
1.	(Principal Cluster) 20	Azamgarh (PWD), Azamgarh-4(RED), Ballia(RED), Mau(PWD), Mau-2(RED), Basti(RED), S.K. Nagar(PWD), S.K. Nagar-2(RED), Siddharth Nagar(RED), Hardoi(PWD), Hardoi-4(RED), Lakhimpur Kheeri(PWD), Lakhimpur Kheeri-4(RED), Lucknow(PWD), Lucknow-3(RED), Rae Bareli(RED), Sitapur(PWD), Sitapur-4(RED), Unnao(RED), UPRRDA
2.	18	Agra(PWD), Agra-2(RED), Firozabad(PWD), Firozabad-2 (RED), Mainpuri(PWD), Mainpuri-2(RED), Mathura(RED), Aligarh(RED), Etah(RED), Hathras(PWD), Hathras-2(RED), Kasganj(RED), Rampur(RED), Bijnor(PWD), J.B.F. Nagar (Amroha) (PWD), J.B.F. Nagar-2 (Amroha) (RED), Moradabad(RED), Sambhal(RED)
3.	20	Jalaun(PWD), Jhansi(PWD), Jhansi-3(RED), Lalitpur(RED), Etawah(RED), Farrukhabad(RED), Kannauj(PWD), Kannauj-3 (RED), Auraiya(PWD), Auraiya-2(RED), Kanpur Dehat(PWD), Kanpur Dehat-3(RED) Kanpur Nagar(PWD), Kanpur Nagar-2(RED), Chandauli(PWD), Chandauli-3(RED), Ghazipur(PWD), Ghazipur-3(RED), Jaunpur(RED), Varanasi(PWD)
4.	17	Badaun(RED), Bareilly(PWD), Bareilly-3(RED), Pilibhit(RED), Shahjahanpur(PWD), Shahjahanpur-4(RED), Baghpat(RED), Bulandshahr(RED), G.B. Nagar(PWD), G.B. Nagar-2(RED), Ghaziabad(PWD), Hapur(PWD), Hapur-2(RED), Meerut(RED), Muzaffar Nagar(RED), Saharanpur(PWD), Shamli(RED)
5.	18	Banda(RED), Chitrakoot(PWD), Chitrakoot-3(RED), Hamirpur(PWD), Hamirpur-2(RED), Mahoba(RED), Mirzapur(PWD), Mirzapur-3(RED), S.R. Nagar (Bhadohi)(RED) Sonebhadra(RED), Sonebhadra-RCPLWEA(PWD) Allahabad(PWD), Allahabad-3(RED), Fatehpur(PWD), Fatehpur-2(RED), Kaushambi(PWD), Kaushambi-2(RED), Pratapgarh-3(RED)
6.	19	Bahraich(PWD), Bahraich-3(RED), Balrampur(PWD), Balrampur-3(RED), Gonda(RED), Shravasti(PWD), Shravasti-2(RED), Sultanpur-2(PWD), Sultanpur-7(RED), Ambedkar Nagar(PWD), Ambedkar Nagar-3(RED), Amethi(PWD), Amethi-2(RED), Barabanki(RED), Faizabad(RED), Deoria(RED), Gorakhpur-4(RED), Kushinagar(RED), Mahrajganj(RED)

Note:- Turnover of all units are >5cr. In last Three Years.



**Criteria for Short listing at the EOI stage**

Criteria	Weight age %
1. Long standing of the firm	10
2. Turnover of the firm for the last three financial years (FY) from audit and assurances services	15
3. Experience and Association of Partners	15
4. Experience and Association of qualified full time staff (being CAs or Cost Accountants or technically qualified staff relevant to the project)	10
5. Experience of the firm during the last 5 years in audit of Financial Statements/Internal Audit relevant to the assignment	45
6. Presence in the state where project headquarters are located (more than 3 years)	5
<b>Total</b>	<b>100</b>

**Note:** Based on the above point & criteria as setout for EOI, all the bidders who have secured 60 or more points shall be treated as successful bidders.

## Application for Expression of Interest for Internal Audit PMGSY Projects in Uttar Pradesh.

Sl.No.	Particulars			Annexure No.
1a.	Name of the CA Firm			
1b.	Registered Address of the CA firm with Evidence			
1c.	Registration No (With Evidence Self attested)			
2	Address of the Head Office/Branch Office established in the State of Uttar Pradesh with Evidence.			
	Contact No.			
<b>Details of Partners</b>				
3	Sl.No.	Name	Membership No.	Experience (Years)
	1			
	2			
	3			
	4			
4	CAG Registration No. with Date and Documentary Proof			
5	Audit Experience With (Documentary Proof)			
A	Project financed by bilateral or multilateral agencies.			
B	Project in the same sector (other than above)			
C	Agencies implementing Government projects (other than above)			
6	<b>Details of Full Time Employee</b>			
	S.No.	Name	Exdperience(Years)	Area of Specialisation
	1			
	2			
	3			
	4			
	5			
	6			
	7			
	8			
	9			
10				

7	<b>Details of Fees for application.</b>
	The draft must be Drawn in Favour of "CEO, UPRRDA" Payable at Lucknow.
	Bank Draft No. ----- Date. -----
	Amount <b>Rs. 5,000.00+GST@18%=5900.00(Five Thousand Nine Hundered Only)</b> Name of the Bank.-----

Signature with official Seal.

**Declaration**

I hereby declare that the particulars stated in this application form are true to the best of my knowledge and belief. I have read the Terms and Conditions carefully and Satisfied my self that I am eligible for internal Audit of PIUs & Preparing report in in the given time frame, and I shall abide by the terms and conditions contained therein. In the event of suppression or distortion of any fact made in this application form, I understand that I shall be denied the opportunity to tender I also understand that the decision of the Agency in this regard shall be final and binding upon me and I shall abide by the same.

Place:-----

Date:-----

Signature with official Seal



**The Firms must satisfy following criteria failing which they will be summary disqualified**

- The applicant is empanelled with the C&AG in the panel of Audit firms eligible for major audits.
- The Audit firm is not incumbent Internal Auditor of SRRDA.
- No partner of the audit firm or any qualified employee of the firm is related to any member of the Governing Body/Executive Committee/Board of Director or the Project Director/ Managing director/ any director or any of the senior management (as applicable) of the PIA. Relative would mean husband, wife, brother, or sister or any lineal ascendant or descendant.
- Neither the firm nor its Partners or Associates have any interest in the business of the Project.
- From the time of appointment and for one year after (to be counted from the date of issue of final audit report) the Firm ceases to be Auditor, no other assignment of any kind to the Project (including consultancy) will be accepted, either by the firm or by its partners or relatives of the partners of the firm or by its associates.
- The audit will not be done by a person who was either an employee in the project or a partner/employee of the retiring auditor, unless such person is employed with the firm for at least one year.
- The firm is not one against which disciplinary orders have been issued by the public companies Accounting oversight Board and these orders are in force.